GOVERNMENT OF TAMIL NADU

PERSONNEL AND ADMINISTRATIVE REFORMS (S) DEPARTMENT, SECRETARIAT, CHENNAI-600 009.

Letter Ms.No.248, DATED : 20.10.97

From

THIRU K.A.NAMBIAR, I.A.S., Chief Secretary to Government

То

All Secretaries to Government, Secretariat, Chennai –9. All Departments of Secretariat, Chennai-9. All Heads of Departments, The Secretary, Tamil Nadu Public Service Commission, Chennai-2. The Senior Standing Counsel, Tamil Nadu Administrative Tribunal, Chennai-6.

Sir,

Sub : PUBLIC SERVICES – Preparation of panel for appointment by promotion by recruitment by transfer – Further guidelines Issued.

Ref :1) G.O.Ms.No.368, Personnel and Administrative Reforms (S) Department, dated 18.10.93.

2) Government Letter No.27336/S/96-1, Personnel and

Administrative Reforms(S) Department, dated 28.6.96.

3) D.O.Letter No.754/DPD-A1/97, dated 3.2.97 From Chairman, Tamil Nadu Public Service Commission, Chennai 600 002.

In the Government Order cited, detailed instructions have been issued for guidance of the Heads of Departments/Departments of Secretariat while preparing the panels for appointment by promotion/recruitment by transfer for State and Subordinate Services, consolidating the earlier instructions on preparation of estimate of vacancies, effect of charges, punishments etc., and prescribing formats for preparing the estimates and sending particulars, examination of suitability of the individuals etc.

2) In the Government letter second cited some basic guidelines adopted by Departmental Promotion Committee at its Meeting held on 3.4.96 were communicated to all the departments for uniform compliance while preparing panels. Now the Chairman, Tamil Nadu public Service Commission has communicated revised norms for circulation among the Secretaries and Heads of Departments. 3) The Government have examined in detail the norms communicated and further points raised by some of the Heads of Departments and Departments of Secretariat with regard to pendency of charges, effect of punishments and effect of adverse remarks etc. in various cases.

4) In continuation of the guidelines issued in para II (iv), (v) & (vi) of G.O.Ms.No.368, Personnel and Administrative Reforms (S) Department, dated 18.10.93 and in supersession of the guidelines issued in Government letter second cited the following specific guidelines are issued with regard to the pendency of Vigilance Enquiry/Charges, effect of adverse remarks of Personal File/Confidential Report and specific punishments for adoption by the Heads of Departments, Departments of Secretariat and Departmental Promotion Committee while preparing panels. The Government further direct that they should be read with the existing guidelines issued in G.O.ms.No.368, Personnel and Administrative Reforms (S) Department, dated 18.10.93 and not in isolation, uniformly in all cases without giving room for difference in norms from one Department to another.

I. EFFECT OF PENDENCY OF VIGILANCE ENQUIRY/CHARGES ON INCLUSION IN A PANEL FOR PROMOTION AND RECRUITMENT BY TRANSFER

Nature of Enquiry/Charge		Effect
1	Preliminary or detailed enquiry undertaken by	Need not be held against the
)	Directorate of Vigilance and Anti-Corruption	Officer
		for inclusion in the Panel.
2	Regular cases/Registered cases by	Mere registration of cases in Courts
)	Directorate of Vigilance and Anti	need not be held against the Officer
	Corruption	But if charge sheet has been filed in
		the
		Criminal case, it should be held
		against the Officer.
3	Trap and Arrest made under the prevention of	It should be treated as a serious
)	Corruption Act by Director of Vigilance and Anti	offence and held against the
	Corruption	Officer.
4	Enquiry by Tribunal for Disciplinary proceedings	This will be equivalent to charges
)	undertaken by the department or on the	framed under Rule 17(b) of Tamil
	recommendation of the Vigilance	Nadu Civil Services (D&A) Rules.
	Commissioner.	Hence, it should be held against the
		Officer.
5	Remittal orders issued by the government directing	Unless specific charges have been
)	the appropriate authority to initiate disciplinary action	
	on receipt of the	Nadu Civil Services (D&A)Rules
	report.	The remittal orders issued by the
		Government need not be held
		against the Officer. If charges are
		framed under Rule 17(b) as a result
		of remittal orders the name need
		not be included in the panel. Even,
		if the name has been included In
		the panel, the name should
		beDeleted from such a panel if

		charges before actual promotion.
6	Pendency of charges framed under Rule 17(a) of	Need not be held against the
)	Tamil Nadu Civil Services (D&A) Rules	Officer, irrespective of the seriousness of the delinquency.
7	Pendency of charges framed under Rule 17(b) of	It should be held against the Officer
)	Tamil Nadu Civil Services (D&A)	And inclusion deferred until
	Rules.	finalisation of the
		disciplinaryproceedings.
8	Suspension	If the Officer is placed under
)		suspension on the date of
		consideration inclusion may be
		deferred till finalisation of
		disciplinary proceedings.

II. EFFECT OF PUNISHMENTS ON INCLUSION IN THE PANEL

1) As warning or severe warning is not a statutory punishment and since there is no provision for appeal, it need not be held against the Officer, whether it was awarded after framing of charges under Rule 17(a) or 17(b) of Tamil Nadu Civil Services (D&A) Rules

2) Any punishment, other than 'Censure' imposed on an Officer within a period of Five Years prior to the crucial date and a punishment of 'Censure'' within a period of One Year prior to the crucial date should be held against the Officer. In such a case the Officer's name should be passed over.

Provided that if the officer was imposed with any of the punishments within the check period as mentioned above for irregularities/delinquencies which occurred five years prior to the date of punishment, such punishment need not be held against him.

Provided that an Officer passed over once, need not be passed over for the second time on account of the same punishment at the time of subsequent consideration for the next panel.

3) Currency of Punishment :

Whenever an Officer is undergoing a punishment and there is currency of punishment on the crucial date the name should be passed over at the time of first consideration irrespective of the time of occurrence of irregularity. If the currency of that punishment continues at the time of subsequent consideration, for the next panel then the name may be included in the panel, on the basis that a name should not be passed over for the second time on account of the same punishment. It is further clarified that charges framed under Rule 17(b) of Tamil Nadu Civil Services (D&A) Rules and any of the punishments awarded after the crucial date and till the date of issue of the panel, shall also be taken into consideration for assessing the suitability of the Officer for inclusion in the panel.

III. CONSIDERATION OF ADVERSE REMARKS :

The performance of the Officer should be assessed as revealed through the Personal File/Confidential Report over a period of 5 years prior to the crucial date. Any adverse remark relating to a period of 3 years or more during the check period of five years which have not been shown to an acknowledged by the Officer reported upon shall be ignored. Other adverse remarks which have been shown to the Officer and acknowledged by him but not represented against/not expunged on representation should be considered (with reference to subsequent reports, if any) for taking a decision.

Yours faithfully, Sd/-(V.RAJARAM) for CHIEF SECRETARY TO